



Lincoln Plaza
11245 S.E. 6th Street
Suite 210
Bellevue, WA 98004
T 425.455.1733
888.734.3863

NOTICE OF PRIVACY PRACTICES

The Gramm-Leach-Bliley (GLB) Act deals in part with how financial institutions treat nonpublic personal financial information. In compliance with that act we provide you with the following explanation of our privacy practices. As part of our business, we collect certain “nonpublic personal information” about you, from the following sources:

- Subscription Agreements and other forms, which may include your name, address, telephone number, birth date, occupation, marital status, citizenship and certain personal financial information;
- Account History, including information about the transactions and balances in your account; and
- Correspondence, including written, telephonic, or electronic between you or service providers to us.

We do not disclose any nonpublic personal information about our customers or former customers to anyone except as permitted by law.

We restrict access to nonpublic personal information about you to those individuals who need to know that information in order to provide our services to you, or have been retained by you, such as a custodian/trustee of your IRA or retirement plan or as an investment advisor, registered representative or broker/dealer. We maintain physical, electronic, and procedural safeguards that comply with federal regulations to guard your nonpublic personal information.